1. Suppose *V* is a finite-dimensional inner-product space with inner product $\langle \cdot, \cdot \rangle$ and that $T : V \rightarrow V$ is symmetric. Show that *T* has no complex eigenvalues.

Hint: Let *W* be the complex vector space of vectors of the form a + ib where $a, b \in V$. You need not show that this is a vector space. We extend *T* to a map $T : W \to W$ by

$$T(a+ib) = Ta + iTb.$$

It's easy to see that this map is complex linear; don't show this. If the characteristic equation $det(T - \lambda I)$ has a complex root λ , then there is a vector z = x + iy in W such that $T(x + iy) = \lambda(x + iy)$; there is a little work to be done here, but don't do it either unless you are looking for some extra fun.

Extend the inner product to complex vectors in W by

$$\langle a+ib,w\rangle = \langle a,w\rangle + i \langle b,w\rangle$$
 and $\langle w,a+ib\rangle = \langle w,a\rangle + i \langle w,b\rangle$.

Now show

1. $T\overline{z} = \overline{\lambda}\overline{z}$ if z is an eigenvector with eigenvalue λ .

2. $\langle Tz, w \rangle = \langle z, Tw \rangle$ for all complex vectors *z* and *w*.

From these two ingredients you can show that any eigenvalue of *T* must be real by looking at $\langle Tz, \overline{z} \rangle$.

Solution:

We suppose z = x + iy is a complex eigenvector of T with eigenvalue λ . Note that

$$T(\overline{z}) = T(x - iy) = Tx - iTy = \overline{Tx + iTy} = \overline{T(x + iy)} = \overline{\lambda z} = \overline{\lambda \overline{z}}.$$

Now suppose $v, w \in W$. Then v = a + ib and w = c + id for some $a, b, c, d \in V$. Then

$$\langle Tv, w \rangle = \langle T(a+ib), c+id \rangle = \langle Ta+iTb, c+id \rangle \\ = \langle Ta, c \rangle + i \langle Ta, d \rangle + i \langle Tb, c \rangle - \langle Tb, d \rangle .$$

Using the symmetry of T acting on V we find

$$\langle Tv, w \rangle = \langle a, Tc \rangle + i \langle a, Td \rangle + i \langle b, Tc \rangle - \langle b, Td \rangle = \langle a + ib, Tc + iTd \rangle = \langle v, Tw \rangle.$$

Now consider

$$\langle Tz,\overline{z}\rangle = \langle \lambda z,\overline{z}\rangle = \lambda < z,\overline{z} > .$$

But

$$\langle Tz, \overline{z} \rangle = \langle z, T\overline{z} \rangle = \langle z, \overline{\lambda}\overline{z} \rangle = \overline{\lambda} \langle z, \overline{z} \rangle.$$

$$\lambda \langle z, \overline{z} \rangle = \overline{\lambda} \langle z, \overline{z} \rangle.$$

One readily verifies that $\langle z, \overline{z} \rangle = \langle x, x \rangle + \langle y, y \rangle \neq = 0$. So

 $\lambda = \overline{\lambda}$

and λ is real.

2. Let *A* and *B* be $n \times n$ matrices. Show tr AB = tr BA. Conclude that if $T : V \to V$ is a linear map between finite dimensional vector spaces that tr *T* is well-defined.

Solution:

Let $A = [A_i j]$ and $B = [B_i j]$ so that

$$AB_{ij} = \sum_{k=1}^{n} A_{ik} B_{kj}.$$

Then

$$\operatorname{tr} AB = \sum_{i=1}^{n} AB_{ii} = \sum_{i=1}^{n} \sum_{k=1}^{n} A_{ik}B_{ki} = \sum_{k=1}^{n} \sum_{i=1}^{n} B_{ki}A_{ik} = \operatorname{tr} BA.$$

Now if *A* is any $n \times n$ matrix and *P* is any invertible $n \times n$ matrix,

$$\operatorname{tr} P^{-1}AP = \operatorname{tr} APP^{-1} = \operatorname{tr} A.$$

Now suppose $T: V \to V$ is linear and V is finite dimensional. Let \mathcal{B}_1 and \mathcal{B}_2 be two bases on V, and let P be the change of basis matrix introduced in class. Then

$$T_{\mathcal{B}_2} = P^{-1}T_{\mathcal{B}_1}P.$$

But then

$$\operatorname{tr} T_{\mathcal{B}_2} = \operatorname{tr} P^{-1} T_{\mathcal{B}_1} P = \operatorname{tr} T_{\mathcal{B}_1} P P^1 = \operatorname{tr} T_{\mathcal{B}_1}.$$

Hence the trace of $T_{\mathcal{B}}$ is independent of the choice of basis \mathcal{B} and can be used to define tr T.

- **3.** Let \mathcal{B} be a basis for $T_p M$.
 - a) Show that $I_{\mathcal{B}}S_{\mathcal{B}} = II_{\mathcal{B}}$, where $S = S_p$ is the shape operator on T_pM .
 - b) Explain why $I_{\mathcal{B}}$ is an *invertible* 2 × 2 matrix.
 - c) Show that $S_{\mathcal{B}} = I_{\mathcal{B}}^{-1}II_{\mathcal{B}}$.
 - d) Conclude that

$$K = \frac{ln - m^2}{EG - F^2}$$

and

$$H=\frac{Gl+En-2Fm}{2(EG-F^2)}.$$

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Solution, part a:

Let $\mathcal{B} = \{x_1, x_2\}$ be a basis for $T_p M$. Let $II_{\mathcal{B}} = [II_{ij}], I_{\mathcal{B}} = [I_{ij}], \text{ and } S_{\mathcal{B}} = [S_{ij}]$. Then

$$II_{ij} = II(x_i, x_j) = \langle Sx_i, x_j \rangle = \langle x_i, Sx_j \rangle = \langle x_i, x_k S_{kj} \rangle = \langle x_i, x_k \rangle S_{kj} = II_{ik}S_{kj}$$

Hence

$$II_{\mathcal{B}} = I_{\mathcal{B}}S_{\mathcal{B}}.$$

Solution, part b:

Suppose to the contrary that $I_{\mathcal{B}}$ is not invertible. Then there is a nonzero vector v such that

$$II_{ii}v_i = 0$$

for each *i*. So

$$v_i I I_{ij} v_j = 0$$

Let $w = v_1 x_1 + v_2 x_2$ so that $w_B = v$. Then $\langle w, w \rangle = v^t I I_B v = 0$. Hence w = 0 and therefore v = 0. This is a contradiction.

Solution, part c:

This follows immediately from parts (a) and (b).

Solution, part d:

Using a chart to determine the usual basis x_u and x_v for T_pM we write

$$I_{\mathcal{B}} = \begin{bmatrix} E & F \\ F & G \end{bmatrix} \qquad II_{\mathcal{B}} = \begin{bmatrix} l & m \\ m & n \end{bmatrix}.$$

We see that

$$K = \det S = (\det I_{\mathcal{B}})^{-1} \det II_{\mathcal{B}} = (ln - m^2)/(EG - F^2).$$

Also

$$I_{\mathcal{B}}^{-1} = \frac{1}{EG - F^2} \begin{bmatrix} G & -F \\ -F & E \end{bmatrix}.$$

So

$$I_{\mathcal{B}}^{-1}II_{\mathcal{B}} = \frac{1}{EG - F^2} \begin{bmatrix} Gl - Fm & Gm - Fn \\ -Fm + El & -Fm + En \end{bmatrix}.$$

Consequently

$$H = \frac{1}{2} \operatorname{tr} I_{\mathcal{B}}^{-1} II_{\mathcal{B}} = \frac{GL + En - 2Fm}{2(EG - F^2)}$$

4. Write a Maple procedure **UnitNormal** that takes an expression for a chart **x** and the name of two coordinate variables (e.g. u and v) and returns a simplified expression for the unit normal in terms of u and v. Verify your procedure works by computing the unit normal of the helicoid $\mathbf{x}(u, v) = (v \cos u, v \sin u, bv)$. (You have already computed this normal on a previous homework.)

- 5. Write a Maple procedure **FundamentalForms** that takes an expression for a chart **x** and the name of two coordinate variables (e.g. *u* and *v*) and returns two 2×2 matrices, the matrices of the first and second fundamental forms computed with respect to the basis $\{x_u, x_v\}$.
- 6. Compute *E*, *F*, and *G*, as well as *l*, *m*, and *n* for the chart $\mathbf{x}(u, v) = (\cos(u) \sin(v), \sin(u) \sin(v), \cos(v))$. You may use Maple to assist you in your computation. Explain why your results for *F* and *G* make intuitive sense.

Solution:

See worksheet for the computation that gives F = 0 and G = 1. These make sense because the lines of latitude and longitude are orthogonal, and because we parameterized lines of longitude with a unit speed curve.

7. Let **x** be a chart with domain *D* into the surface *M*. Let $\tilde{\alpha} : [0, T] \to D$ be a curve in *D*, so $\tilde{\alpha}(t) = (u(t), v(t))$ for some functions *u* and *v*. Let $\alpha(t) = \mathbf{x}(\alpha(t))$, so α is a curve in *M*. Show that

$$L(\alpha) = \int_0^T E(\tilde{\alpha}(t))(u'(t))^2 + 2 * F(\tilde{\alpha}(t))u'(t)v'(t) + G(\tilde{\alpha}(t))(v'(t))^2 dt.$$

This shows us that *E*, *F*, and *G* can be used to compute the lengths of curves in local coordinates.

Let **x** be the chart in problem 6. Let $\tilde{\alpha}(t) = (t, \pi/4)$ where $-\pi < t < \pi$. Use the formula developed above and the values of *E*, *F*, and *G* computed in problem 6 to compute the length of α . Explain why the result of this computation makes intuitive sense.

Solution:

Note that $\alpha'(t) = \mathbf{x}_u(\alpha(t))u'(t) + \mathbf{x}_v(\alpha(t))v'(t)$. Hence

$$|\alpha'(t)|^{2} = \langle \mathbf{x}_{u}(\alpha(t))u'(t) + \mathbf{x}_{v}(\alpha(t))v'(t), \mathbf{x}_{u}(\alpha(t))u'(t) + \mathbf{x}_{v}(\alpha(t))v'(t) \rangle = E(u')^{2} + 2Fu'v' + G(v')^{2}.$$

Hence

$$L(\alpha) = \int_0^T |\alpha'(t)| dt = \int_0^T \sqrt{E(\tilde{\alpha}(t))(u'(t))^2 + 2 * F(\tilde{\alpha}(t))u'(t)v'(t) + G(\tilde{\alpha}(t))(v'(t))^2} dt.$$

For the sphere example we have $E = \sin^2 v$, F = 0, G = 1, u'(t) = 1, and v'(t) = 0. So

$$L = \int_{-\pi}^{\pi} \sqrt{\sin^2 \pi/4} \, dt = 2\pi \sin \pi/4 = 2\pi \frac{\sqrt{2}}{2}.$$

This result makes sense because the curve on the sphere is the line of latitude for angle $\pi/4$ which is a circle of radius $\cos \pi/4$.

8. Write a Maple procedure that computes the Gaussian and mean curvatures of a surface. Then compute the Gaussian and mean curvatures of the helicoid.

Solution:

See worksheet.

9. Write a Maple procedure **Shape** that takes an expression for a chart **x** and the name of two coordinate variables (e.g. *u* and *v*) and returns the matrix of the shape operator with respect to the basis \mathbf{x}_u and \mathbf{x}_v .

Solution:

See worksheet.

10. Use the program you wrote in the previous problem to re-do Exercise 2.2.16 in Oprea.

Solution:

See worksheet.