Unit Overview
Recursion & Searching

Major Topics

✓ • Introduction to Recursion
✓ • Search Algorithms
(part) • Recursion vs. Iteration
  • Eliminating Recursion
  • Recursive Search with Backtracking
Review
Search Algorithms [1/3]

Binary Search is an algorithm to find a given **key** in a **sorted list**.
- Here, **key** = thing to search for. Often there is associated data.
- In computing, **sorted** = in (some) order.

Procedure
- Pick an item in the middle: the **pivot**.
- Use this to narrow search to top or bottom half of list. Recurse.

Example: Binary Search for 64 in the following list.

1. Looking for 64 in **this** list.

   5  8  9 13 22 30 34 37 38 41 60 63 65 82 87 90 91

2. **Pivot**. Is 64 < 38? No.
3. Recurse: Looking for 64 in **this** list. Etc.
Review
Search Algorithms [2/3]

Binary Search is **much faster** than Sequential Search, and so the amount of data it can process in the **same** amount of time is **much greater**.

<table>
<thead>
<tr>
<th>Number of Look-Ups We Have Time to Perform</th>
<th>Maximum Allowable List Size: Binary Search</th>
<th>Maximum Allowable List Size: Sequential Search</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>3</td>
<td>4</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>8</td>
<td>4</td>
</tr>
<tr>
<td>10</td>
<td>512</td>
<td>10</td>
</tr>
<tr>
<td>20</td>
<td>524,288</td>
<td>20</td>
</tr>
<tr>
<td>40</td>
<td>549,755,813,888</td>
<td>40</td>
</tr>
<tr>
<td>$k$</td>
<td>$\text{Roughly } 2^k$</td>
<td>$k$</td>
</tr>
</tbody>
</table>

“The fundamental law of computer science: As machines become more powerful, the efficiency of algorithms grows more important, not less.”
— Nick Trefethen
Equality vs. Equivalence

- “\(a == b\)” is equality.
- “\(! (a < b) \&\& ! (b < a)\)” is equivalence.

Equality & equivalence may not be the same thing when objects being compared are not numbers.

Using equivalence instead of equality:
- Maintains consistency with `operator<`.
- Allows for use with objects that do not have `operator==`.

Iterator operations that can be done in more than one way.

<table>
<thead>
<tr>
<th>Using Operators</th>
<th>Using STL Algorithms</th>
</tr>
</thead>
<tbody>
<tr>
<td>Random-access iterators only</td>
<td>Works with all forward iterators</td>
</tr>
<tr>
<td>Still fast with random-access</td>
<td></td>
</tr>
<tr>
<td><code>iter += n</code></td>
<td><code>std::advance(iter, n)</code></td>
</tr>
<tr>
<td><code>iter2 - iter1</code></td>
<td><code>std::distance(iter1, iter2)</code></td>
</tr>
</tbody>
</table>
Review
Recursion vs. Iteration

The **Fibonacci numbers** are 0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, 233, 377, 610, 987, ...

• To get the next Fibonacci number, add the two before it.

They are defined formally as follows:

• We denote the $n$th Fibonacci number by $F_n$ ($n = 0, 1, 2, ...$).
• $F_0 = 0$.
• $F_1 = 1$.
• For $n \geq 2$, $F_n = F_{n-2} + F_{n-1}$.

As before, recurrence relations often translate nicely into recursive algorithms.

TO DO

• Write a recursive function to compute a Fibonacci number: given $n$, compute $F_n$.

  *Done. See fibol.cpp, on the web page.*
Recursion vs. Iteration [continued]
Fibonacci Numbers — Problem

For high-ish values of \( n \) (above 35, say) function \texttt{fibo} in \texttt{fibol.cpp} is extremely slow.

- What can we do about this?

TO DO

- Rewrite the Fibonacci computation in a fast iterative form.
- Figure out how to do a fast recursive version. Write it.

\[
\text{Done. See \texttt{fibo2.cpp}, on the web page.}
\]

\[
\text{Done. See \texttt{fibo3.cpp}, on the web page.}
\]
Recursion vs. Iteration
Fibonacci Numbers — Lessons [1/2]

Choice of algorithm can make a **huge** difference in performance.

Recursive calls made to compute $F_6$.

As we will see, data structures can make a similar difference.
Recursion vs. Iteration
Fibonacci Numbers — Lessons [2/2]

Some algorithms have natural implementations in both recursive and iterative form.

- *Iterative* means making use of loops.

A struct can be used to return two values at once.

- The template `std::pair` (declared in `<utility>`) can be helpful.

Sometimes we have a workhorse function that does most of the processing and a wrapper function that is set up for convenient use.

- Often the wrapper just calls the workhorse for us.
- This is common when we use recursion, since recursion can place inconvenient restrictions on how a function is called.
- We have seen this in another context. Remember `toString` and `operator<<` in the package from Assignment #1.
Recursion vs. Iteration

Drawbacks of Recursion

Two factors can make recursive algorithms inefficient.

- **Inherent inefficiency of some recursive algorithms**
  - However, there are efficient recursive algorithms (`fibo3.cpp`).

- **Function-call overhead**
  - Making all those function calls requires work: saving return addresses, creating and destroying automatic variables.

And recursion has another problem.

- **Memory-management issues**
  - Memory for automatic variables is allocated in a way that does not allow for normal error handling. Making too many recursive calls will cause stack overflow (resulting in a crash — or worse).
  - When we use iteration, we can manage memory ourselves. This is more work, but it also allows us to handle errors properly.

These two are important regardless of the recursive algorithm used.
Recursion vs. Iteration
Note — Even Faster \texttt{fibo}

There is actually a simple formula for $F_n$ (we must use floating-point).

Let $\varphi = \frac{1 + \sqrt{5}}{2} \approx 1.6180339887$. This is called the “golden ratio”.

Then for each nonnegative integer $n$, $F_n$ is the nearest integer to $\frac{\varphi^n}{\sqrt{5}}$.

Here is \texttt{fibo} using this formula:

\begin{verbatim}
int fibo(int n) {
    const double sqrt5 = sqrt(5.);
    const double phi = (1. + sqrt5) / 2.; // "Golden ratio"
    double nearly = pow(phi, n) / sqrt5; // $\varphi^n/\sqrt{5}$
    // Our Fibonacci number is the nearest integer
    return int(nearly + 0.5);
}
\end{verbatim}

See \texttt{fibo5.cpp}, on the web page.
Eliminating Recursion
In General [1/2]

**Fact. Every** recursive function can be rewritten as an iterative function that uses essentially the same algorithm.

- Think: How does the system help you do recursion?
  - It provides a **Stack**, used to hold return addresses for function calls, and values of automatic local variables.

- We can implement such a Stack ourselves. We need to be able to store:
  - Values of automatic local variables, including parameters.
  - The return value (if any).
  - Some indication of where we have been in the function.

- Thus, we can eliminate recursion by mimicking the system’s method of handling recursive calls using Stack frames.
Eliminating Recursion
In General [2/2]

To rewrite any recursive function in iterative form:

- Declare an appropriate Stack.
  - A Stack item holds all automatic variables, an indication of what location to return to, and the return value (if any).
- Replace each automatic variable with its field in the top item of the Stack.
  - Set these up at the beginning of the function.
- Put a loop around the rest of the function body: “while (true) { ... }”.
- Replace each recursive call with:
  - Push an object with parameter values and current execution location on the Stack.
  - Restart the loop (“continue”).
  - A label marking the current location.
  - Pop the stack, using the return value (if any) appropriately.
- Replace each “return” with:
  - If the “return address” is the outside world, really return.
  - Otherwise, set up the return value, and skip to the appropriate label (“goto”?).

This method is primarily of theoretical interest.
- Thinking about the problem often gives better solutions than this.
- We will look at this method further when we study Stacks.
Eliminating Recursion
Tail Recursion [1/4]

A special kind of recursion is **tail recursion**: when a recursive call is the last thing a function does.

Tail recursion is important because it makes the recursion $\rightarrow$ iteration conversion very easy.

- That is, we like tail recursion because it is easy to eliminate.
- In fact, tail recursion is such an obvious thing to optimize that some compilers automatically convert it to iteration.
  - Note: We are speaking generally here, not specific to C++. Automatic tail-recursion elimination is common in functional languages.
Eliminating Recursion
Tail Recursion [2/4]

For a void function, tail recursion looks like this:

```cpp
void foo(TTT a, UUU b) {
    ...
    foo(x, y);
}
```

For a function returning a value, tail recursion looks like this:

```cpp
SSS bar(TTT a, UUU b) {
    ...
    return bar(x, y);
}
```
Eliminating Recursion
Tail Recursion [3/4]

The reason tail recursion is so easy to eliminate is that we never need to return from the recursive call to the calling function.

- Because there is nothing more for the calling function to do.
- Thus, we can replace the recursive call by something essentially like a goto.

Eliminating Tail Recursion

- Surround the function body with a big loop, as before.
- Replace the tail recursive call with:
  - Set parameters to their new values (and restart the loop — which happens automatically, since we are already at the end of the loop).
- There is no need to make any changes to non-recursive return statements, that is, the base case(s).

If the only recursive call in a function is at the end, then eliminating tail recursion converts the function into non-recursive form.
TO DO

- Eliminate the recursion in `binsearch2.cpp`.
  - First, modify function `binSearch` so that it has exactly one recursive call, and this is at the end of the function.
    - This should be easy.
  - Next, eliminate tail recursion.
    - This is a little trickier to think about, but very easy to do.

Done. See `binsearch3.cpp`, on the web page.

Done. See `binsearch4.cpp`, on the web page.