

# Recursive Search with Backtracking continued Introduction to Analysis of Algorithms

---

CS 311 Data Structures and Algorithms

Lecture Slides

Monday, October 5, 2009

Glenn G. Chappell

Department of Computer Science

University of Alaska Fairbanks

**CHAPPELLG@member.ams.org**

© 2005–2009 Glenn G. Chappell

# Unit Overview

## Recursion & Searching

---

### Major Topics

- ✓ ■ Introduction to Recursion
- ✓ ■ Search Algorithms
- ✓ ■ Recursion vs. Iteration
- ✓ ■ Eliminating Recursion
- (part) ■ Recursive Search with Backtracking

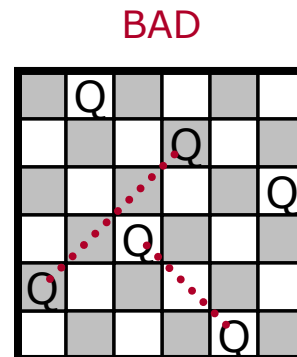
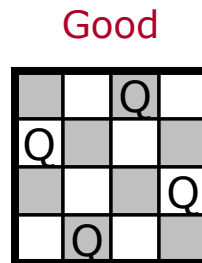
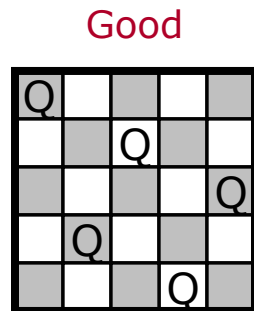
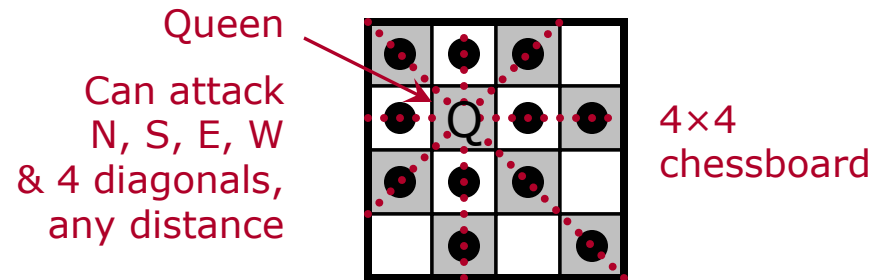
## Review

# Recursive Search with Backtracking — Printing Solutions [1/3]

---

We looked at how to solve the ***n*-Queens Problem**.

- Place  $n$  queens on an  $n \times n$  chessboard so that none of them can attack each other.



# Review

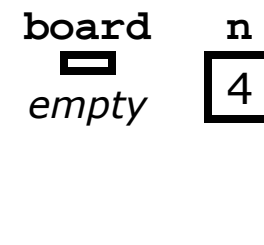
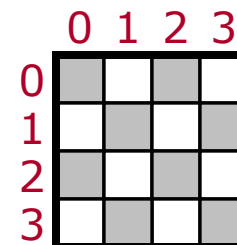
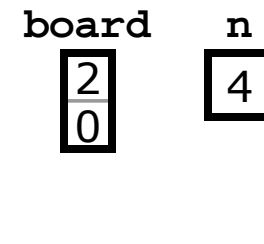
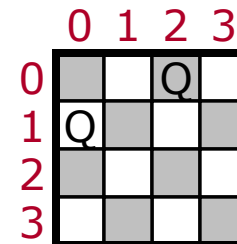
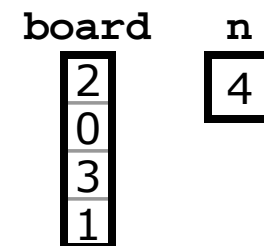
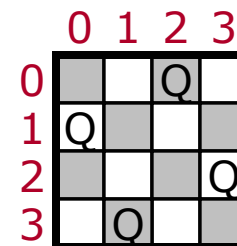
## Recursive Search with Backtracking — Printing Solutions [2/3]

### Representing a Partial Solution

- Number rows and columns  $0 \dots n-1$ .
- Two variables:
  - Variable `board` (vector of ints).
  - Variable `n` (int).
- Variable `n` holds the number of rows/columns in a full solution.
- Variable `board` holds the columns of the queens already placed, one per row.
- The size of `board` is the number of rows in which queens have been placed.

Partial Solution

Representation



## Review

### Recursive Search with Backtracking — Printing Solutions [3/3]

---

#### The Code

- **Nonrecursive wrapper function**
  - Creates an empty partial solution.
  - Calls the workhorse function with this partial solution.
- **Recursive workhorse function** is given a partial solution, prints all full solutions that can be made from it.
  - Do we have a full solution?
    - If so, output it.
  - Do we have a clear dead end? } ←
  - If so, simply return.
  - Otherwise:
    - Make a recursive call for each way of extending the partial solution. } ←

Note:

This part **might** not be necessary. Another way to handle dead ends is simply not to make any recursive calls when we get to this part.

#### TO DO

- Write a recursive function to print solutions to the  $n$ -Queens Problem.

*Done. See `nqueen.cpp`,  
on the web page.*

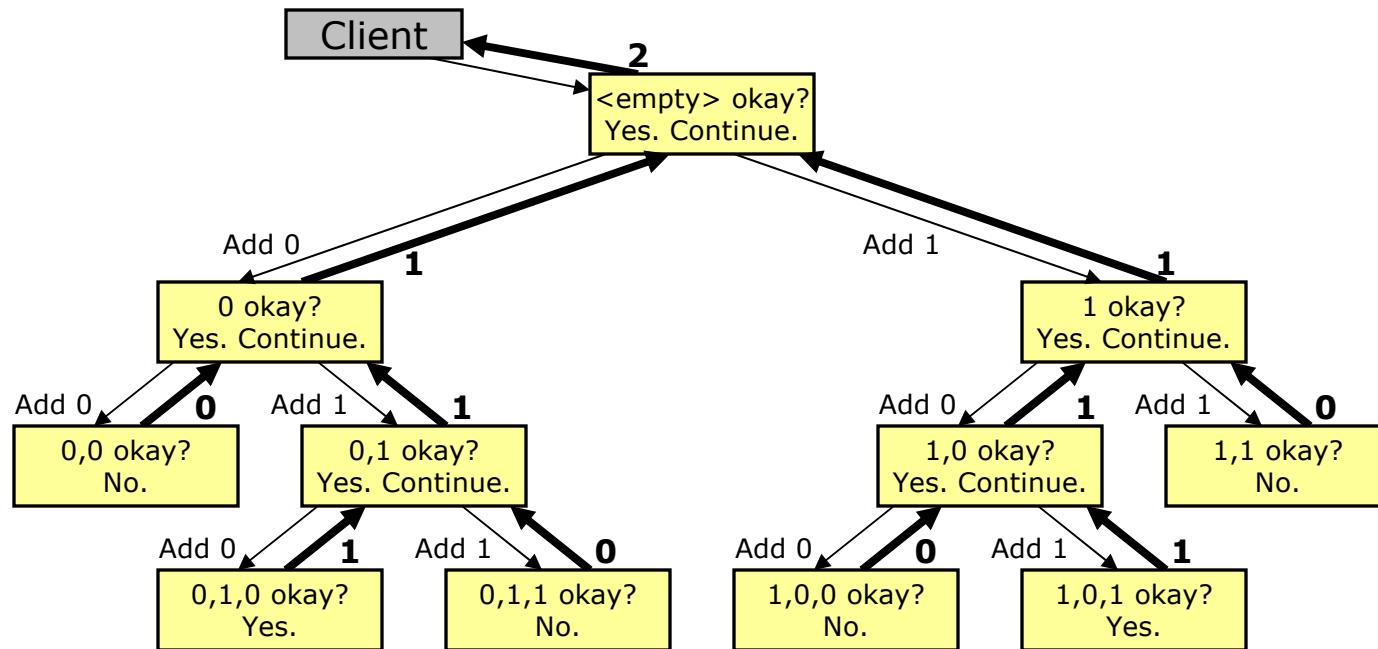
# Recursive Search with Backtracking

## Counting Solutions — Diagram

continued

We can use a similar approach to **count solutions**. Each recursive call returns the number of full solutions based on the given partial solution.

- Base Cases
  - "Found a solution" returns 1.
  - "Didn't work" returns 0.
- Recursive Case
  - Make recursive calls, add their return values, and return the total.



# Recursive Search with Backtracking

## Counting Solutions — How to Do It

---

### The Code

- **Nonrecursive wrapper function**
  - Creates an empty partial solution.
  - Calls the workhorse function with this partial solution.
  - Returns the return value of the workhorse function.
- **Recursive workhorse function** is given a partial solution, returns the number of full solutions that can be made from it.
  - Do we have a full solution?
    - If so, return 1.
  - Do we have a clear dead end? } ← As before, this might be unnecessary.
    - If so, return 0.
  - Otherwise:
    - Set *total* to zero.
    - For each way of extending the current partial solution, make a recursive call, and add its return value to *total*.
    - Return *total*.

## Recursive Search with Backtracking Counting Solutions — Write It

---

### TO DO

- Modify the  $n$ -Queens code to **count** all possible non-attacking arrangements of  $n$  queens, instead of printing them.

*Done. See `nqueencount.cpp`,  
on the web page.*

## Recursive Search with Backtracking

### Holey Spider Walks — Problem Description [1/4]

---

Now we look at the problem you are to solve in Assignment 4.

Consider a rectangle divided into squares. One square is marked as **forbidden**, another is labeled **start**, and a third is labeled **finish**. We call the result a **board**.

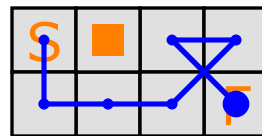
- An example  $4 \times 2$  board is shown below.



What happens:

- Place a “spider” on the start square.
- The spider can step north, south, east, west, or in one of the four diagonal directions, to an adjacent square.
- We want the spider to walk thus around the board, stepping on each square except the forbidden square (the “hole”) exactly once, and ending on the finish square. A path that accomplishes this is a **holey spider walk**.

An example holey spider walk on the above board is shown below.



# Recursive Search with Backtracking

## Holey Spider Walks — Problem Description [2/4]

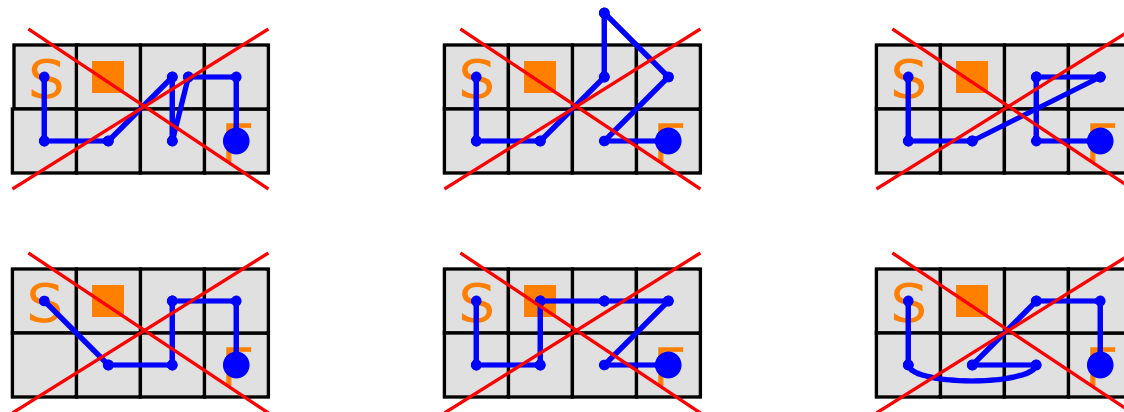
---

How many different holey spider walks does this board have?

- The answer turns out to be four.



Here are some paths that are *not* valid holey spider walks.



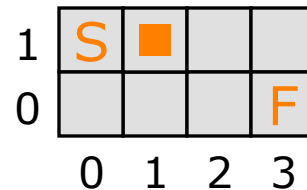
## Recursive Search with Backtracking

### Holey Spider Walks — Problem Description [3/4]

---

Place a coordinate system on a board, as shown below. Specify squares as  $x, y$ .

- Giving the horizontal coordinate first, start is  $(0, 1)$ .



Your job in Assignment 4 is to write a function `countHSW` that takes a board size, forbidden square, and start and finish squares, each specified as  $x, y$ , and returns the number of holey spider walks on that board.

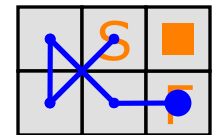
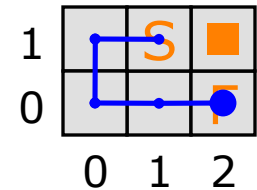
- So `countHSW(4,2, 1,1, 0,1, 3,0)` should return 4.  
                                  size   forbidden   start   finish

# Recursive Search with Backtracking

## Holey Spider Walks — Problem Description [4/4]

Other board sizes and forbidden/start/finish squares are possible.

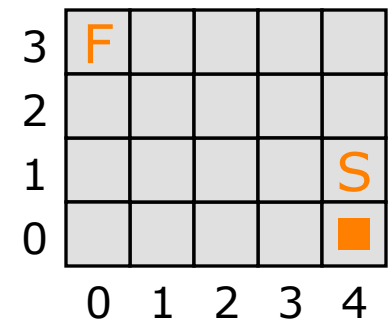
- Here is a board with a different number of holey spider walks (all are shown).
  - Thus, `countHSW(3,2, 2,1, 1,1, 2,0)` returns 2.



- Here is a  $4 \times 1$  board.
  - There are no holey spider walks on this board; `countHSW(4,1, 1,0, 0,0, 3,0)` returns 0.



- Here is a  $5 \times 4$  board.
  - There are 40,887 holey spider walks on this board; `countHSW(5,4, 4,0, 4,1, 0,3)` returns 40887.



## Recursive Search with Backtracking

### Holey Spider Walks — Writing It [1/7]

---

We consider how to write `countHSW` using the recursive methods discussed earlier.

In the following slides I will explain how I did it. You may take these as *suggestions* for how you can do it. However, the requirements of the assignment allow for quite a bit of variation. You do not need to follow my suggestions.

Requirements in a nutshell:

- Wrapper function `countHSW`.
- Recursive workhorse function `countHSW_recurse`.
  - Given a partial solution.
  - Returns number of full solutions based on this partial solution.

Note: If you have trouble with this sort of thing, then *follow my suggestions!*

# Recursive Search with Backtracking

## Holey Spider Walks — Writing It [2/7]

---

What **information** do we need to maintain?

Ideas:

- A board is a vector of `ints`, each corresponding to a square. An item is `1` if the spider has visited it or it is forbidden, otherwise `0`. Thus: `0` means the square needs to be visited.

```
typedef std::vector<int> BoardType;
```

- We also need to know:
  - The board size: `x` & `y`.
  - The finish square: `x` & `y`.
  - The spider's current position: `x` & `y`.
- It would be helpful to know:
  - The number of squares left to visit.
    - I will call this `squaresLeft`.

We do *not* need to remember which square is forbidden.

Wrap this information in an object, *if you want*. (I did not.)

Do not use global variables!

- Remember: recursion.

## Recursive Search with Backtracking

### Holey Spider Walks — Writing It [3/7]

---

Conceptually, a board is a 2-D array.

However, I suggested storing it in a 1-D (smart) array.

- Why: 2-D smart (or dynamic) arrays in C++ are a pain to declare and initialize. I suggest avoiding them.
- How: You can simulate a 2-D array using a 1-D array in the same way that C++ does it internally.

In order to simulate a 2-D `int` array with dimensions `size_x` and `size_y` (think `"int array[size_x][size_y];"`), we can use an ordinary vector with size `size_x*size_y`.

```
BoardType b(size_x*size_y); // size = size_x*size_y
```

- To look up item `i,j` (think `"array[i][j]"`) use the subscript `i*size_y+j`.

```
b[i*size_y+j] = 1; // item i,j in conceptual 2-D array
```

Don't change the way you *think* about the array; change the *syntax* you use to access it.

# Recursive Search with Backtracking

## Holey Spider Walks — Writing It [4/7]

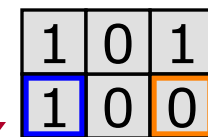
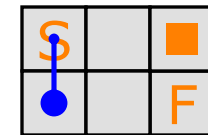
### What is a **partial solution**?

- It represents some point along the spider's journey.
- Rules. A partial solution:
  - Starts at the start square.
  - Makes legal moves (N/S/E/W/diagonal, on board, not forbidden).
  - Does not visit any square twice.

Therefore, we have a *full* solution if:

- The number of squares left to visit is zero.
- The spider is on the finish square.

```
int countHSW_recurse(BoardType board,
                    int size_x, int size_y,
                    int finish_x, int finish_y,
                    int pos_x, int pos_y,
                    int squaresLeft)
{
    if (squaresLeft == 0 && pos_x == finish_x && pos_y == finish_y)
        return 1; // We have a full solution
}
```



Current  
position

Finish  
square

In an *empty* solution:

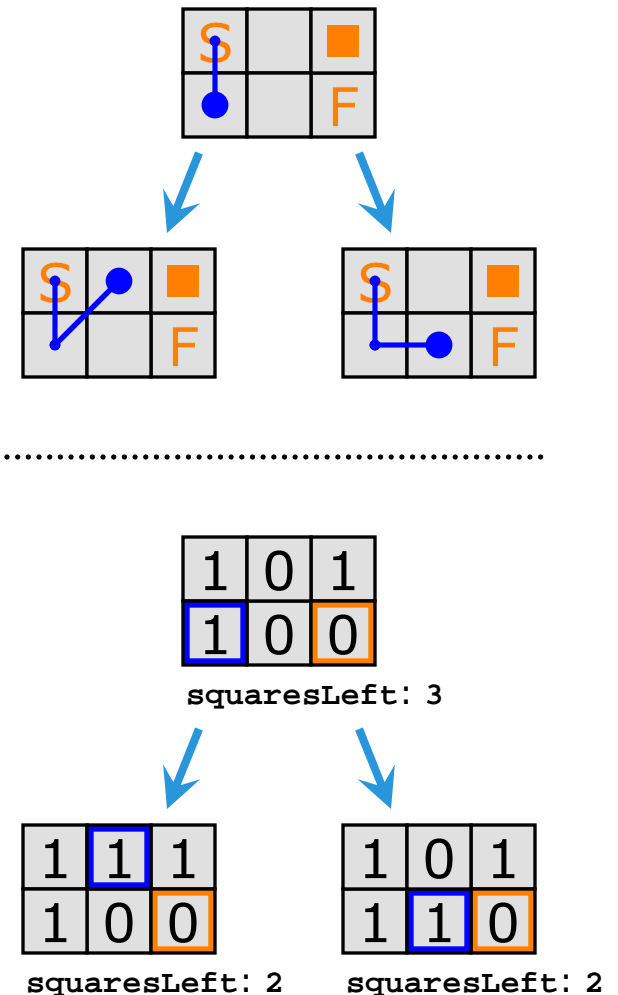
- The board is all 0's, except for the start square and the forbidden square, which are 1.
- The spider is on the start square.
- The number of squares left to visit is the number of squares on the board minus 2.

# Recursive Search with Backtracking

## Holey Spider Walks — Writing It [5/7]

Procedure for workhorse function:

- Check for a full solution (previous slide).
  - If so, return 1.
- Set *total* to zero.
- For each of the eight squares adjacent to the spider's current position:
  - Check if this (1) lies on the board and
  - Check if this (2) is not-yet-visited.
  - If so:
    - Move current spider position.
    - Mark new square as visited.
    - Decrement number of squares left.
    - Make recursive call.
    - Add return value to *total*.
    - Restore all changes, except change to *total*.
- Return *total*.



## Recursive Search with Backtracking

### Holey Spider Walks — Writing It [6/7]

---

#### More Suggestions

- If you are careful to leave the board in the same state when `countHSW_recurse` ends as when it began, then you can pass the board by reference, avoiding the copy.

```
int countHSW_recurse(BoardType & board, ...
```

- If you use the 1-D array idea (recommended!) then you should still treat it like a 2-D array. Keep track of  $x$  and  $y$ , not the array index. Do not iterate through it using a single for-loop; use nested loops.
- You may find formulas for the number of walks in special situations (or in general). These are certainly of interest, but do not use them in your code; they will not help you meet the requirements of the assignment.
- Remember to subtract 1 from `squaresLeft` when making a recursive call.

# Recursive Search with Backtracking

## Holey Spider Walks — Writing It [7/7]

---

### Final Notes

- Again, you do **not** have to write your code the way I have outlined. Any code that meets the requirements of the assignment is acceptable. In particular:
  - Function `countHSW`: prototyped as required.
  - Function `countHSW_recurse`: recursive, takes partial solution and counts final solutions, does the bulk of the work.
- **Think first!** This assignment generally requires less writing than other assignments, but more thought.

## Unit Overview

### Algorithmic Efficiency & Sorting

---

We now begin a unit on algorithmic efficiency & sorting algorithms.

#### Major Topics

- Introduction to Analysis of Algorithms
- Introduction to Sorting
- Comparison Sorts I
- More on Big- $O$
- The Limits of Sorting
- Divide-and-Conquer
- Comparison Sorts II
- Comparison Sorts III
- Radix Sort
- Sorting in the C++ STL

We will (partly) follow the text.

- Efficiency and sorting are in Chapter 9.

After this unit will be the in-class Midterm Exam.

# Introduction to Analysis of Algorithms

## Efficiency [1/3]

---

What do we mean by an “efficient” algorithm?

- We mean an algorithm that **uses few resources**.
- By far the most important resource is **time**.
- Thus, when we say an algorithm is **efficient**, *assuming we do not qualify this further*, we mean that it can be executed **quickly**.

How do we determine whether an algorithm is efficient?

- Implement it, and run the result on some computer?
- But the speed of computers is not fixed.
- And there are differences in compilers, etc.

Is there some way to measure efficiency that does not depend on the system chosen or the current state of technology?

# Introduction to Analysis of Algorithms

## Efficiency [2/3]

---

Is there some way to measure efficiency that does not depend on the system chosen or the current state of technology?

- Yes!

### Rough Idea

- Divide the tasks an algorithm performs into “steps”.
- Determine the maximum number of steps required for input of a given size. Write this as a formula, based on the size of the input.
- Look at the most important part of the formula.
  - For example, the most important part of “ $6n \log n + 1720n + 3n^2 + 14325$ ” is “ $n^2$ ”.

Next we look at this in more detail.

# Introduction to Analysis of Algorithms

## Efficiency [3/3]

---

When we talk about **efficiency** of an algorithm, without further qualification of what “efficiency” means, we are interested in:

- **Time** Used by the Algorithm
  - Expressed in terms of number of **steps**
- How the **Size of the Input** Affects Running Time
  - Larger input typically means slower running time. How much slower?
- **Worst-Case** Behavior
  - What is the maximum number of steps the algorithm ever requires for a given input size?

To make the above ideas precise, we need to say:

- What is meant by a **step**.
- How we measure the **size** of the input.

These two are part of our **model of computation**.

# Introduction to Analysis of Algorithms

## Model of Computation

---

The **model of computation** used *in this class* will include the following definitions.

- The following operations will be considered a single **step**:
  - Built-in operations on fundamental types (arithmetic, assignment, comparison, logical, bitwise, pointer, array look-up, etc.).
  - Calls to client-provided functions (including operators). In particular, in a template, operations (i.e., function calls) on template-parameter types.
- From now on, when we discuss efficiency, we will always consider a function that is given a list of items. The **size** of the input will be the number of items in the list.
  - The “list” could be an array, a range specified using iterators, etc.
  - We will generally denote the size of the input by “ $n$ ”.

### Notes

- As we will see later, we can afford to be *somewhat* imprecise about what constitutes a single “step”.
- In a formal mathematical analysis of the properties and limits of computation, both of the above definitions would need to change.

# Introduction to Analysis of Algorithms

## TO BE CONTINUED ...

---

*Introduction to Analysis of Algorithms* will be continued next time.